

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

176
✓
#8
BT

7-24-02

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/001,494
	Filing Date	10/31/2001
	First Named Inventor	Roberto A. Capodiec
	Group Art Unit	1761
	Examiner Name	Unassigned
	Attorney Docket Number	12598US03

ENCLOSURES (check all that apply)

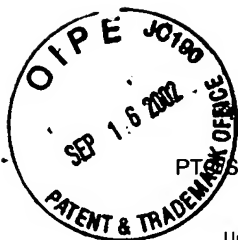
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement & 1449 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RECEIVED SEP 17 2002 TC 1700
Remarks		Form 1449 and copies of all 139 references cited on Form 1449 are enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd.
Signature	<i>Joseph M. Barich</i>
Date	September 11, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: September 11, 2002.			
Name (Print/type)	Joseph M. Barich	Registration No. (Attorney/Agent)	42,291
Signature	<i>Joseph M. Barich</i>	Date	09/11/2002



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002		Complete if Known		
		Application Number	10/001,494	
Patent Fees are subject to annual revision.		Filing Date	10/31/2001	
		First Named Inventor	Roberto A. Capodiec	
		Examiner Name	Unassigned	
		Group Art Unit	1761	
TOTAL AMOUNT OF PAYMENT	(\$)	180.00	Attorney Docket No.	12598US03

METHOD OF PAYMENT		FEE CALCULATION (continued)																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES																													
Deposit Account Number: 13-0017		<div>RECEIVED SEP 17 2002 TC 1700</div>																													
Deposit Account Name: McAndrews, Held & Malloy, Ltd.																															
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17																															
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27																															
2. <input checked="" type="checkbox"/> Payment Enclosed:																															
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																															
FEE CALCULATION																															
1. BASIC FILING FEE																															
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 740</td><td>201 370</td><td>Utility filing Fee</td><td></td></tr><tr><td>106 330</td><td>206 165</td><td>Design filing Fee</td><td></td></tr><tr><td>107 510</td><td>207 255</td><td>Plant filing fee</td><td></td></tr><tr><td>108 740</td><td>208 370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 160</td><td>214 80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1) (\$)</td><td></td></tr></tbody></table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 740	201 370	Utility filing Fee		106 330	206 165	Design filing Fee		107 510	207 255	Plant filing fee		108 740	208 370	Reissue filing fee		114 160	214 80	Provisional filing fee		SUBTOTAL (1) (\$)			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)			Fee Description	Fee Paid																										
101 740	201 370	Utility filing Fee																													
106 330	206 165	Design filing Fee																													
107 510	207 255	Plant filing fee																													
108 740	208 370	Reissue filing fee																													
114 160	214 80	Provisional filing fee																													
SUBTOTAL (1) (\$)																															
2. EXTRA CLAIM FEES																															
<table border="1"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td></td><td>- 20** =</td><td>x</td><td></td></tr><tr><td>Independent Claims</td><td>- 3** =</td><td>x</td><td></td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td></tr></tbody></table>		Total Claims	Extra Claims	Fee from below	Fee Paid		- 20** =	x		Independent Claims	- 3** =	x		Multiple Dependent																	
Total Claims	Extra Claims	Fee from below	Fee Paid																												
	- 20** =	x																													
Independent Claims	- 3** =	x																													
Multiple Dependent																															
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 84</td><td>202 42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 280</td><td>204 140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109 84</td><td>209 42</td><td>**Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="3">SUBTOTAL (2) (\$)</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 84	202 42	Independent claims in excess of 3		104 280	204 140	Multiple dependent claim, if not paid		109 84	209 42	**Reissue independent claims over original patent		110 18	210 9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																												
103 18	203 9	Claims in excess of 20																													
102 84	202 42	Independent claims in excess of 3																													
104 280	204 140	Multiple dependent claim, if not paid																													
109 84	209 42	**Reissue independent claims over original patent																													
110 18	210 9	**Reissue claims in excess of 20 and over original patent																													
SUBTOTAL (2) (\$)																															
**or number previously paid, if greater; For Reissues, see above		Other fee (specify) y _____																													
		Reduced by Basic Filing Fee Paid																													
		SUBTOTAL (3) (\$) 180.00																													

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Joseph M. Barich	Registration No. (Attorney/Agent)	47,291
Signature	<i>Joseph M. Barich</i>	Telephone	312/775-8000
		Date	September 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12598US03)

Attorney Docket No. 12598US03

RECEIVED
SEP 17 2002
TC 1700

In the Application of:

Roberto A. Capodiec

Serial No. **10/001,494**

Filed: **October 31, 2001**

For: **Ultrasonically Activated
Continuous Slitter
Apparatus and Method**

Examiner: **Unassigned**

Group Art Unit: **1761**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on this 11th day of September, 2002.

By:

Joseph M. Barich
Joseph M. Barich
Reg. No. 42,291

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

<u>U.S. PATENT NO.</u>	<u>DATE</u>	<u>INVENTOR(S)</u>
2145941	2/7/1939	Maxfield
3029751	4/17/1962	Gilmore
3031804	5/1/1962	Thatcher et al.
3044510	7/17/1962	Schneider et al.
3114643	12/17/1963	Boston et al.
3199437	8/10/1965	Nelsen
3407077	10/22/1968	Helin

3416398	12/17/1968	Bodine
RE26543	3/11/1969	Beeson et al.
3434906	3/25/1969	De Greeve et al.
3505136	4/7/1970	Attwood
3615712	10/26/1971	Keller
3636859	1/25/1972	Null
3819089	6/25/1974	Scales
3829007	8/13/1974	Ellison
3830944	8/20/1974	Dimitriadis et al.
3873735	3/25/1975	Chalin et al.
3895118	7/15/1975	Rambold
3961089	6/1/1976	Dogliotti
3971838	7/27/1976	Yazawa
4017237	4/12/1977	Webster
4055109	10/25/1977	Kan
4097327	6/27/1978	Calemard
4115489	9/19/1978	Macfee
4163768	8/7/1979	Stephens
4216639	8/12/1980	Gautier
4290521	9/22/1981	Mitchell
4358466	11/9/1982	Stevenson
4361235	11/30/1982	Gautier
4373982	2/15/1983	Kreager et al.
4394395	7/19/1983	Rostagno et al.
4421773	12/20/1983	Akutagawa
4453370	6/12/1984	Titchenal
4500280	2/19/1985	Astier et al.
4514426	4/30/1985	Jordan et al.
4517790	5/21/1985	Kreager
4521467	6/4/1985	Berger
4534726	8/13/1985	Simelunas
4534818	8/13/1985	Kreager et al.
4574566	3/11/1986	Eaves et al.

4601157	7/22/1986	Adelman
4605123	8/12/1986	Goodrum et al.
4608261	8/26/1986	MacKenzie
4616470	10/14/1986	Nakamura
4631901	12/30/1986	Chung et al.
4651870	3/24/1987	Giambalvo
4652456	3/24/1987	Sailsbury
4663915	5/12/1987	Van Erden et al.
4663917	5/12/1987	Taylor et al.
4685602	8/11/1987	Hama
4693056	9/15/1987	Raszewski
4735753	4/5/1988	Ackermann
4751916	6/21/1988	Bory
4759170	7/26/1988	Sawa et al.
4759249	7/26/1988	Held
4774797	10/4/1988	Colamussi et al.
4784591	11/15/1988	Ackermann
4787755	11/29/1988	Branson
4796300	1/3/1989	Branson
4810109	3/7/1989	Castel
4849233	7/18/1989	Hemker
4864802	9/12/1989	D'Angelo
4865680	9/12/1989	Pierson
4879124	11/7/1989	Oberle
4890439	1/2/1990	Smart et al.
4909870	3/20/1990	Gould et al.
4923701	5/8/1990	VanErden
4937410	6/26/1990	Anderson
4950859	8/21/1990	Anderson
5044777	9/3/1991	Watkins et al.
5058364	10/22/1991	Seiden et al.
5061331	10/29/1991	Gute
5067302	11/26/1991	Boeckmann

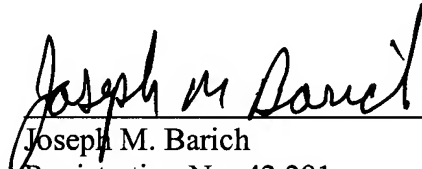
5161350	11/10/1992	Nakamura
5181365	1/26/1993	Garvey et al.
5202064	4/13/1993	Furusawa et al.
5222813	6/29/1993	Kopp et al.
5226343	7/13/1993	Rawson et al.
5228372	7/20/1993	Harrop et al.
5230761	7/27/1993	Crawford
5342634	8/30/1994	Murata et al.
5366741	11/22/1994	Van Der Zon
5391387	2/21/1995	Peters
5419437	5/30/1995	Huseman
5435712	7/25/1995	Probst
5437215	8/1/1995	Hamilton
5552165	9/3/1996	Haak et al.
5645681	7/8/1997	Gopalakrishna et al.
5667824	9/16/1997	Ream et al.
5689942	11/25/1997	Suga
5694745	12/9/1997	Spatafora et al.
5706635	1/13/1998	Simmons
5733587	3/31/1998	Ream et al.
5752423	5/19/1998	Rawson
5819615	10/13/1998	Dale et al.
5827559	10/27/1998	Powell
5846584	12/8/1998	Capodieci
5861185	1/19/1999	Capodieci
5863585	1/26/1999	Sjöberg
5871783	2/16/1999	Capodieci
5871793	2/16/1999	Capodieci
5914142	6/22/1999	Zartner
5928695	7/27/1999	Capodieci
6032561	3/7/2000	Lonn et al.
6068868	5/30/2000	Capodieci
6143336	11/27/2000	Capodieci

6210728	4/3/2001	Capodiec
6231330	5/15/2001	Capodiec
6318248	11/20/2001	Capodiec
6361609	3/26/2002	Ouellette et al.
6368647	4/9/2002	Capodiec
6403132	6/11/2002	Capodiec
6431849	8/13/2002	Capodiec
GB 952 581	3/18/1964	GB
GB 1 013 665	12/15/1965	GB
JP 55-154119	12/1/1980	JP Japan
DE 29 22 834 A1	12/11/1980	DE Germany
DE 30 34 955 A1	3/25/1982	DE Germany
JP 57-91164	6/7/1982	JP
EP 0 084 903 A2	8/3/1983	EP Europe
GB 2 117 350 A	10/12/1983	GB
GB 2 171 077 A	8/20/1986	GB
AT 382 112 B	1/12/1987	AT Austria
DE 88 09 048	10/13/1988	DE Germany
JP 63-315223	12/22/1988	JP Japan
GB 2 219 245 A	12/6/1989	GB.
EP 0 333 390 A2	9/20/1989	EP Europe
JP 03-158227	7/8/1991	JP Japan
DE 40 17 363 A1	12/5/1991	DE
FR 2 665 683 A1	2/14/1992	FR France
EP 0 478 812 A1	4/8/1992	EP
EP 0 584 670	3/2/1994	EP Europe
GB 2 276 138 A	9/21/1994	GB
EP 0 499 647 B1	1/4/1995	EP
GB 2 283 007 A	4/26/1995	GB
EP 0 561 654 B1	1/10/1996	EP
DE 195 05 298 A1	8/22/1996	DE Germany
DE 197 16 141 A1	10/30/1997	DE
WO 00/20191	4/13/2000	PCT

The above-identified reference(s) are listed on the attached PTO Form 1449 and copies are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,



Joseph M. Barich
Registration No. 42,291
Attorney for Applicant

September 11, 2002
Date

MCANDREWS, HELD & MALLOY, LTD.
500 West Madison Street, 34th Floor
Chicago, Illinois 60661

Telephone: (312) 775-8000
Facsimile: (312) 775-8100